

07-02-01



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 Date of Deposit June 29, 2001

Case No. 10790/4  
 Case No. 10790/3

## PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Conklin et al. for: Method and System for Enhanced Distribution of Financial

Instruments. Enclosed are:

- ☒ 9 sheet(s) of drawings, 73 pages of application (including title page), and the following Appendices : \_\_\_\_.
- ☒ Declaration.
- ☐ Power of Attorney.
- ☐ Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.
- ☒ Assignment cover sheet, check for \$40 and Assignment of the invention to : Incapital Holdings LLC.

| Claims as Filed                   | Col. 1    | Col. 2    |
|-----------------------------------|-----------|-----------|
| For:                              | No. Filed | No. Extra |
| Basic Fee                         |           |           |
| Total Claims                      | 66-20     | 46        |
| Indep. Claims                     | 4-3       | 1         |
| Multiple Dependent Claims Present |           |           |

\*If the difference in col. 1 is less than zero, enter "0" in col. 2.

| Small Entity |        |
|--------------|--------|
| Rate         | Fee    |
|              | \$ 355 |
| x\$9=        | 414    |
| x\$40=       | \$ 40  |
| + \$135=     | \$     |
| Total        | \$ 809 |

| Other Than Small Entity |        |
|-------------------------|--------|
| Rate                    | Fee    |
|                         | \$ 710 |
| x\$18=                  |        |
| x\$80=                  |        |
| + \$270=                | \$     |
| Total                   |        |

☒ Please charge my Deposit Account No. 23-1925 in the amount of \$: \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

A check in the amount of \$: 809 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR § 1.17.

☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

- ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

June 29, 2001  
 Date

Darin E. Bartholomew  
 BRINKS HOFER GILSON & LIONE  
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